# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, DC 20549** 

## FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): September 4, 2018

# EYEGATE PHARMACEUTICALS, INC.

(Exact name of registrant as specified in its charter)

#### Delaware

(State or other jurisdiction of incorporation)

001-36672 (Commission File Number) 98-0443284 (IRS Employer Identification No.)

271 Waverley Oaks Road Suite 108 Waltham, MA (Address of principal executive offices)

**02452** (Zip Code)

## (781) 788-9043

(Registrant's telephone number, including area code)

Ch	eck the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:						
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)						
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)						
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))						
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))						
	Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).						
Em	erging growth company ⊠						
	n emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial ounting standards provided pursuant to Section 13(a) of the Exchange Act. ⊠						

### Item 8.01. Other Events.

On September 4, 2018, EyeGate Pharmaceuticals, Inc. (the "Company") issued two press releases announcing (i)top line results from a Phase 3 study of the Company's EGP-437 combination product in patients with non-infectious anterior segment uveitis and (ii) updates relating to the Company's ongoing studies of its EyeGate Ocular Bandage Gel ("OBG") product candidate, including the randomization of the first three patients in one of the studies.

The press releases are filed as Exhibit 99.1 and Exhibit 99.2 and investors should read each press release in its entirety, including the cautionary statements regarding forward looking statements therein.

## Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

The Company hereby files the following exhibits:

- 99.1 Press Release of the Company, dated as of September 4, 2018.
- 99.2 Press Release of the Company, dated as of September 4, 2018.

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

## EYEGATE PHARMACEUTICALS, INC.

Ву: \_\_\_\_

/s/ Stephen From
Stephen From
President and Chief Executive Officer

Date: September 4, 2018

## **Exhibit Index**

99.1	Press R	elease of	the C	ompany,	dated a	as of Se	ptember 4.	, 2018.

99.2 Press Release of the Company, dated as of September 4, 2018.

#### EyeGate Announces Top-Line Results for Phase 3 Trial of EGP-437 in Anterior Uveitis

WALTHAM, MA., September 04, 2018 – EyeGate Pharmaceuticals, Inc. (NASDAQ: EYEG) a clinical-stage, specialty pharmaceutical company with two proprietary platform technologies for treating diseases and disorders of the eye, today announced top-line results from its Phase 3 study evaluating the safety and efficacy of EGP-437 delivered through the EyeGate<sup>®</sup> II Drug Delivery System (EGDS) in patients with non-infectious anterior segment uveitis.

Although EGP-437 showed clinical efficacy, defined as a reduction in anterior chamber cell score throughout the study, it did not demonstrate non-inferiority to the prednisolone acetate ophthalmic solution control group. This was measured as the proportion of subjects with an anterior cell count of zero (a sign of diminished inflammation) at Day 14. EyeGate will continue to review the data and will be assessing its strategic options for EGP-437 going forward.

Stephen From, President and Chief Executive Officer of EyeGate, said, "Although we are disappointed with the results of the Uveitis study we continue to review the data and assess the path forward for EGP-437. This also represents an opportunity to shift our focus toward the key clinical trials that support our innovative Ocular Bandage Gel (OBG) product, which has the potential to benefit patients with corneal surface damage. We are actively enrolling for the PRK (photorefractive keratectomy) and PE (punctate epitheliopathy) studies, both of which are on track for announcement of top-line data in the fourth quarter of 2018. We continue to consider all strategic alternatives to maximize shareholder value."

#### About EyeGate

EyeGate is a clinical-stage specialty pharmaceutical company focused on developing and commercializing products using its two proprietary platform technologies for treating diseases and disorders of the eye.

EyeGate's OBG platform is based on a cross-linked thiolated carboxymethyl hyaluronic acid (CMHA-S), a modified form of the natural polymer hyaluronic acid, which is a gel that possesses unique physical and chemical properties such as hydrating and healing when applied to the ocular surface. The ability of CMHA-S to adhere longer to the ocular surface, resist degradation and protect the ocular surface makes it well-suited for treating various ocular surface injuries including surgical trauma.

EGP-437, EyeGate's other product in clinical trials, incorporates a reformulated topically active corticosteroid, Dexamethasone Phosphate that is delivered into the ocular tissues through EyeGate's proprietary innovative drug delivery system, the EyeGate II Delivery System. For more information, please visit www.EyeGatePharma.com.

#### **EveGate Social Media**

EyeGate uses its website (www.EyeGatePharma.com), Facebook page (https://www.facebook.com/ EyeGatePharma/), corporate Twitter account (https://twitter.com/EyeGatePharma), and LinkedIn page (https://www.linkedin.com/company/135892/) as channels of distribution of information about EyeGate and its product candidates. Such information may be deemed material information, and EyeGate may use these channels to comply with its disclosure obligations under Regulation FD. Therefore, investors should monitor EyeGate's website and its social media accounts in addition to following its press releases, SEC filings, public conference calls, and webcasts. The social media channels that EyeGate intends to use as a means of disclosing the information described above may be updated from time to time as listed on EyeGate's investor relations website.

#### Forward-Looking Statements

Some of the statements in this press release are "forward-looking" and are made pursuant to the safe harbor provision of the Private Securities Litigation Reform Act of 1995. These "forward-looking" statements include statements relating to, among other things, the commercialization efforts and other regulatory or marketing approval efforts pertaining to EyeGate's products, including the EyeGate OBG product and EyeGate's EGP-437 combination product, as well as the success thereof, with such approvals or success may not be obtained or achieved on a timely basis or at all. These statements involve risks and uncertainties that may cause results to differ materially from the statements forth in this press release, including, among other things, certain risk factors described under the heading "Risk Factors" contained in EyeGate's Annual Report on Form 10-K filed with the SEC on March 2, 2018 or described in EyeGate's other public filings. EyeGate's results may also be affected by factors of which EyeGate is not currently aware. The forward-looking statements in this press release speak only as of the date of this press release. EyeGate expressly disclaims any obligation or undertaking to release publicly any updates or revisions to such statements to reflect any change in its expectations with regard thereto or any changes in the events, conditions or circumstances on which any such statement is based.

#### Contact

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#### EyeGate Announces Randomization of First Patients in Study for Punctate Epitheliopathies

WALTHAM, MA., September 04, 2018 – EyeGate Pharmaceuticals, Inc. (NASDAQ: EYEG) a clinical-stage, specialty pharmaceutical company with two proprietary platform technologies for treating diseases and disorders of the eye, today announced that the first three patients have been randomized in its study evaluating the ability of EyeGate's Ocular Bandage Gel (OBG) to reduce corneal staining – a sign of ocular surface damage - in patients with punctate epitheliopathies (PE) due to pathologies such as dry eye.

Randomization occurs if a patient meets specific clinical criteria after a two-week qualification period and can then enter the treatment phase of the study. To date EyeGate has enrolled 34 subjects in the qualification stage and continues to enroll as 30 subjects are required to qualify for the treatment stage.

EyeGate's other ongoing OBG study which is for patients that have large corneal defects due to photorefractive keratectomy (PRK) surgery is currently greater than 80% enrolled. Consequently, EyeGate expects to be on track for announcing top-line data on both studies in the fourth quarter of 2018.

Both studies aim to test the potential of the unique proprietary OBG technology to manage the healing of the corneal epithelium – the outer layer of the cornea – for the benefit of patients experiencing these common conditions, which can cause pain, irritation, and reduced vision.

Stephen From, CEO of EyeGate, said, "As we continue to advance the OBG platform towards commercialization, we continue to actively consider all strategic alternatives to maximize shareholder value."

Punctate epitheliopathies (PE) are an early sign of epithelial compromise and are associated with a variety of many pathologic ocular inflammatory conditions including dry eye. PE is characterized by a breakdown or damage of the epithelium of the cornea which will stain positively with fluorescein. The endpoint of treatment is to re-epithelialize the cornea and reduce the corneal staining.

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